



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BARNES COOPER

Application No.: 10/027,652

Filed: December 21, 2001

For: Managing Multiple Processor Performance

States

Art Group:

Examiner:

RECEIVED

NOV 1 8 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

RECEIVED
NOV 1 8 2002
Technology Center 2100

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 08, 2002

Thinh V. Nguyen, Reg. No. 42,034

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 08, 2002

11-08-02

Tu T. Nguyen

Date

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TDANISMITTAL FORM		Application No.	10/027,652				
TRANSMITTAL FORM			Filing Date	December 21, 2001	•		
(to be a sed) of all correspondence after initial filing)			First Named Inventor	Barnes Cooper			
			Group Art Unit	RECEI	/ED		
NOV 1 5 2002			Examiner Name	_1			
Tole Number of	Pages in This Submission	on 6	Attorney Docket Numbe	42390P13461 NOV 1 8	2002		
E TRAINE	ENCLOS		k all that apply)	reshnology Cen	nter 210		
Fee Transmittal		Assignmen		After Allowance Communication to Group			
Fee Attac	ched	Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / R	esponse	Licensing-r	related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Fina	al :/declaration(s)	Petition		Proprietary Information			
Extension of Tin	ne Request	Petition to 0 Provisional	Convert a Application	Status Letter			
Express Abandonment Request Power of A Change of			ttorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):			
Information Disclosure Statement			isclaimer	copy of cited art			
Certified Copy of Priority Document(s) Request			r Refund				
Response to Missing Parts/ Incomplete Application			er of CD(s)				
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNATURE	OF APPLICAN	NT, ATTORNEY, OR A	GENT			
Firm or	Thinh V. Nguye	n, Reg. No. 42	2,034				
Individual name							
Signature A Arms							
Date November 8, 2002							
	s correspondence is bei	ng deposited with	ING/TRANSMISSION The United States Postal for Patents, Washington,				
Typed or printed na	me Tu T. Nguyer		· · · · · · · · · · · · · · · · · · ·	November 8, 2002			
Signature	Awes			Date N vember 8, 2002			

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NOVEMBER B, 2002

Date

PTO/SB/17 (10-02)
Approved for use through 10/31/2002. OMB 0651-0032
Trademark Office: U.S. DEPARTMENT OF COMMERCE formation unless it displays a valid OMB control number.

Inder the Paperwork Redultion Act of 1995	no persons are required to respond	U.S. Patent and Trademark Office to a collection of information unles
	X •	0 I-4- !£ !/

FEE TRANSMITTAL 5 2002 for FY 2008. Patent fees are subject to annual revision.	
Applicant claims small entity status. See 37 FRANCES	-

(\$)

TOTAL AMOUNT OF PAYMENT

Signature

į			
Application Number	10/027,652		
Filing Date	December 21, 2001		
First Named Inventor	Barnes Cooper		
Examiner Name			
Group/Art Unit			
Attorney Docket No.	42390P13461		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
Chack Conditional Money Cother Char	3. ADDITIONAL FEES				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ Deposit Account	Large	e Entity	Sma	ill Entit	RECEIVED
	Fee	Fee	Fee	Fee	
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fee Description NOV 1 8 2002
Number U2-2000	1051	130	2051	65	
Deposit Account Plakely Sakoloff Taylor & Zafman I I P	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet. Non-English specification
Account Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	Requesting publication of SIR prior to Examiner action
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1 840	* Requesting publication of SIR after
Charge fee(s) indicated below, except for the filling fee	1003	.,00	1000	.,5.0	Examiner action
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month
FEE CALCULATION	1252	400	2252	200	Extension for reply within second month
1. BASIC FILING FEE	1253	920	2253	460	Extension for reply within third month
Large Entity Small Entity	1254	1,440	2254	720	Extension for reply within fourth month
Fee Fee Fee Fee Fee <u>Fee Description</u> Fee Paid Code (5)	1255	1,960	2255	980	Extension for reply within fifth month
1001 740 2001 370 Utility filing fee	1404	320	2401	160	Notice of Appeal
1002 330 2002 165 Design filling fee	1402	320	2402	160	Filing a brief in support of an appeal
1003 510 2003 255 Plant filing fee	1403	280	2403	140	Request for oral hearing
1004 740 2004 370 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable
SUBTOTAL (1) (\$)	1453	1,280	2453	640	Petition to revive - unintentional
	1501	1,280	2501	640	Utility issue fee (or reissue)
2. EXTRA CLAIM FEES Extra Fee from	1502	460	2502	230	Design issue fee
Ctaims below Fee Paid Total Claims =	1503	620	2503	310	Plant issue fee
Independent Claims X X	1460	130	2460	130	Petitions to the Commissioner Processing fee under 37 CFR 1.17(q)
Claims X = X = Multiple Dependent	1807	50	1807	50	
	1806 8021	180	1806 8021	180	Submission of Information Disclosure Stmt
Large Entity Small Entity Fee Fee Fee Fee Fee Description	8021	40	0021	40	Recording each patent assignment per property (times number of properties)
Code (\$) Code (\$)	1809	740	1809	370	
1202 18 2202 9 Claims in excess of 20					(37 CFR § 1.129(a))
1201 84 2201 42 Independent claims in excess of 3	1810	740	2810	370	For each additional invention to be examined (37 CFR § 1.129(b))
1203 280 2203 140 Multiple Dependent claim, if not paid	1801	740	2801	370	Request for Continued Examination (RCE)
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination
1205 18 2205 9 **Reissue claims in excess of 20 and over		e (specify)	.552	200	of a design application
original patent		. ,5,550.,,			
SUBTOTAL (2) (\$)	l				
**or number previously paid, if greater, For Reissues, see below	*Reduced	by Basic Filin	g Fee Paid	1	SUBTOTAL (3)
SUBMITTED BY					Complete (if applicable)
	Re	gistratio	n No.	\top	(714) 557 2000
Name (Print/Type) Thinh V. Nguyen	(At	omev/Agei	nt)	1 4	12,034 Telephone (714) 557-3800

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute	for form 1449A/PTO			Complete if Known			
			SHIDE	Application Number	10/027,652		
INFORMATION DISCLOSURE				Filing Date	December 21, 2001		
STATEMENT BY APPLICANT			ICANT	First Named Inventor	Barnes Cooper		
				Group Art Unit			
			1	Examiner Name			
Sheet	eet 1 of		1	Attorney Docket Number	42390P13461		

OTHER ART - NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	nitials* No.' magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
		Advanced Configuration and Power Interface Specification Rev. 2.0, July 27, 2000						
		Compaq Computer Corp, Intel Corp, Microsoft Corp, Phoenix Tech. Ltd., Toshiba Corp						
** # **** P ***************************	-							
		·						
-	!							
•								

Examiner	Date	•	
Signature	Considered		

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{&#}x27;Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.